

# Arteriovenous access banding revisited

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## ABSTRACT

**Purpose:** The aim of this study is to validate the current applicability of arteriovenous access banding in high flow access (HFA) and/or haemodialysis access-induced distal ischaemia (HAIDI).

**Methods:** This retrospective study was conducted at the GEV (Grupo de Estudos Vasculares) vascular access centre. The clinical records of consecutive patients undergoing banding for HAIDI and HFA symptoms, between June 2011 and January 2015, were reviewed until April 2015. All vascular access patients' consultation records and surgical notes were reviewed. We analysed and compared patients' age, gender, comorbidities, symptoms and intraoperative ultrasound control. We defined technical failure as recurrence of symptoms, requiring new banding. Excessive banding, access thrombosis, rupture and false aneurysm development were registered as complications. Primary clinical success was defined as improvement of symptoms or effective flow reduction after banding, with no need for reintervention. If one reintervention was necessary, we have defined it as secondary clinical success.

**Results:** Overall, 119 patients underwent banding: 64 (54%) with HAIDI and 55 (46%) with HFA. The HAIDI group was significantly older ( $65 \pm 13$  years compared with  $56 \pm 22$  years,  $p = 0.001$ ) and had significantly greater number of patients with diabetes (56% vs 24%,  $p = 0.004$ ). Primary success was achieved in 85 patients (71.4%) and the secondary success rate was 84.9%. Older age ( $p = 0.016$ ) and intraoperative ultrasound control ( $p = 0.012$ ) were significantly associated with primary success.

**Conclusions:** Our results do not corroborate the high incidence of thrombosis previously reported as associated with AV access banding and suggest that ultrasound control is crucial for preventing technical failure. The procedure was effective on both compared groups.

**Keywords:** Banding, Haemodialysis access-induced distal ischaemia, High flow access

## Introduction

Although it can be asymptomatic, high flow access (HFA) can be associated with cardiopulmonary complications, aneurysmal growth, central vein stenosis, arm swelling and distal hypoperfusion syndrome. There are no accepted criteria to define HFA, but a flow higher than 1500-2000 mL/minute is generally accepted as such (1).

Haemodialysis access-induced distal ischaemia (HAIDI) is a well-known haemodialysis arteriovenous (AV) access complication. It can be associated with HFA, impaired arte-

rial remodelling after AV access construction, arterial stenotic lesions or reversal of blood flow distal to the anastomosis (2, 3).

Banding was the first flow limitation technique described (4) but has been rated as ineffective due to the high incidence of thrombosis (5, 6).

In our centre, banding is the elective procedure to reduce fistula flow and the first surgical choice to treat HAIDI patients in whom surgery was indicated. The aim of this study is to analyse and review our results and success rate with banding amongst patients with either HFA or with HAIDI, intending to find predictors of success.

## Material and methods

### Study design

This retrospective study was conducted at the GEV (Grupo de Estudos Vasculares) vascular access centre. This institution is a specialized vascular access centre with a multidisciplinary team (including vascular surgeons, nephrologists, radiology

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technicians and nurses), that ensure the patency of arteriovenous accesses referred from the majority of haemodialysis clinics available in the northern half of Portugal.

Study eligibility criteria included participants aged >18 years, medically stable, undergoing haemodialysis with AV fistula or graft and indication for flow reduction. All patients referred to our vascular access centre were assessed during a consultation performed by a vascular surgeon and a nephrologist.

Patients referred with symptoms or signs of hypoperfusion, rest pain, paraesthesia, sensory or motor dysfunction, finger ulcer, or gangrene requiring surgical intervention to control hand ischaemia, were enrolled in the HAIDI group. HAIDI diagnosis was based on physical examination: cold hand, capillary refill time >3 seconds or pulse absence, when compared with contralateral limb. With access compression, we observed return of radial pulsation or, using ultrasound, diastolic flow normalization or increased peak systolic (in the absence of diastolic inversion).

All patients referred with high flow symptoms, excluding those with hand hypoperfusion, and a flow higher than 1500 mL/min were enrolled in the HFA group.

All records between June 2011 and January 2015 were retrospectively reviewed, until April 2015. The study protocol was approved by the Institutional Review Board of GEV (Porto, Portugal).

### Data collection and description

All data were obtained from electronic patient records and surgical notes. Several variables were recorded: demographics (age, gender), comorbidities (diabetes, hypertension and peripheral vascular disease), concomitant medication (platelet antiaggregant and anticoagulant therapy), and vascular access (location and flow). Intraoperative ultrasound control use was also recorded.

### Technique

Our surgical technique of banding consists of a small incision (<2 cm) on or immediately above anastomotic region and dissection of post-anastomosis vein and anastomosis. One (or two) silk banding is passed in the venous segment just beyond the surgical anastomosis, tied as close to the anastomosis as possible, and fixated to the vein parietal layer or surrounding tissue. All procedures were performed under local anaesthesia (2% lidocaine). Intraoperative success of procedure was evaluated by determination of flow reduction using an intraoperative duplex ultrasound (GE Logic Book® or Sonosite Titan®) in a straight segment of brachial artery, 5-10 cm proximal to the anastomosis, recovering of radial pulse (when it was predictable by pre-operative manual clamping of venous outflow), subjective surgeon feeling of thrill intensity reduction, or subjective patient feeling of improved hand perfusion.

### Outcomes

Primary clinical success was defined as improvement of hand ischaemia symptoms or high flow reduction, without

need for reintervention. Secondary clinical success was defined as improvement of hand ischaemia symptoms or high flow resolution after one reintervention, without need for further reintervention. We have recorded complications for all those patients referred to our centre with complaints related to banding intervention. We have included technical failure, defined as recurrence of symptoms requiring new banding, as a complication. Other complications were excessive banding with inappropriate access flow, access thrombosis caused by banding, and access rupture or false aneurysms at the banding site by vein laceration in this area – cut effect caused by the silk suture.

For a better understanding of factors influencing the effectiveness of banding, we performed a comparison between patients with primary clinical success and patients with technical failure. To estimate the probability of success, a logistic regression model was applied. The independent variables (predictors) considered for the regression model were fistula flow, age, gender, diabetes, hypertension, diagnosis, procedure, type and ultrasound control.

### Statistical analysis

The statistical analysis included the *t*-test for two independent samples and the chi-square test for the comparison of proportions concerning categorical variable. Nonparametric tests were also used when normality was not observed. A logistic regression model with binary response was applied to estimate the probability of success. Statistical analysis was performed using IBM SPSS® statistical software (version 22); two-sided tests statistical significance was assumed for  $p < 0.05$ .

### Results

A total of 119 patients were submitted to silk banding for HAIDI ( $n = 64$ ) and high flow symptoms ( $n = 55$ ), between June 2011 and January 2015, referred from 29 different haemodialysis clinics. Demographics and comorbidities are listed in Table I. HFA patients were younger ( $p = 0.001$ ) and with higher preoperative access flow ( $p < 0.001$ ). Amongst HAIDI patients, the proportion of diabetic patients was larger ( $p = 0.004$ ). Vascular access was mainly autologous and proximal. Proximal access included brachiocephalic, basilic vein transposition and Gracz fistula, whilst distal access was radiocephalic, side to end. There were four AV grafts (one axillary loop graft and three brachial-axillary straight grafts) all recruited to HAIDI group.

Reasons for referral in the HAIDI group included pain and paraesthesia (37.5%), finger ulcer (21.9%), necrosis (9.4%), and other signs/symptoms of hypoperfusion (14.1%). According to the classification proposed by Scheltinga et al (7), all patients were classified above HAIDI grade 2a. Around half (51.6%) of the patients were classified as HAIDI grade 2b-3, and 31.3% as HAIDI grade 4a. We were unable to retrospectively classify 17.1% and no patients were classified as HAIDI grade 4b.

In the HFA group, reasons for referral were cephalic arch or other haemodynamic outflow stenosis (54.5%), aneurysm growth (10.9%), fistula throbbing (10.9%), high venous pressures (5.5%), cardiac overload (3.6%), arm swelling (3.6%),

**TABLE I** - Characteristics of patients undergoing banding because of hand ischaemia and high flow access

	All patients (n = 119)	HAIDI (n = 64)	HFA (n = 55)	p value
Age (y)	61 (21-90)	65.5 (22-90)	56.4 (21-87)	0.001*
Gender, n (%)				
Male	72 (60.5%)	37 (57.8%)	35 (53.6%)	0.575
Comorbid conditions, n (%)				
Diabetes	49 (41.2%)	36 (56.3%)	13 (23.6%)	0.004*
Hypertension	19 (15.9%)	9 (14.1%)	10 (18.2%)	0.620
Peripheral artery disease	18 (15.1%)	12 (18.8%)	6 (10.9%)	0.307
Medications, n (%)				
Antiaggregation	61 (51.3%)	34 (53.1%)	27 (49.1%)	0.715
Anticoagulation	15 (12.6%)	9 (14.1%)	6 (10.9%)	0.783
Vascular access, n (%)				
Forearm	8 (6.7%)	5 (7.8%)	3 (5.5%)	-
Upper arm		55 (85.9%)	52 (94.5%)	-
Graft	4 (3.4%)	4 (6.3%)	-	-
Fistula flow $\pm$ SD (mL/min)	2073 $\pm$ 820	1689 $\pm$ 721	2435 $\pm$ 744	0.001*

HAIDI = haemodialysis access-induced distal ischaemia; HFA = high flow access.

\*Indicates statistically significant difference.

and prolonged haemostasis (1.8%). Five patients (9.1%) had no symptoms recorded.

No intra-operatively adverse events were reported. Ultrasound control was used in 32 patients (26.9%). On the HAIDI group, pre- and post-banding mean flow changed from 1711  $\pm$  524 mL/min to 696  $\pm$  244 mL/min (13 patients), and on the HFA group, pre- and post-banding mean flow changed from 2557  $\pm$  683 mL/min to 1017  $\pm$  249 mL/min (19 patients). Some procedures were performed simultaneously and are listed in Table II.

A total of 34 patients (28.6%) were revised due to procedure-related complications and all were submitted for new surgery. All complications are shown in Table III. The number of HAIDI and HFA patients who required reintervention was, respectively, 18 (28.1%) and 16 (29.1%) – the percentage of complications was similar in both diagnoses and, in fact, the p-value was approximately 1.

Functional access without HAIDI or high flow complaints was recovered in 16 patients, after one reintervention: 10 patients with technical failure, after new banding; 3 patients with excessive banding after stenosis surgical plasty conditioned by banding; 2 patients with thrombosis, after thrombectomy and 1 patient with eminent rupture, after proximal reconstruction of access.

Primary clinical success, defined as improvement of steal syndrome symptoms or high flow resolution, with no need for reintervention, was observed in 85 patients (71.4%). Secondary clinical success, defined as improvement of steal syndrome symptoms or high flow resolution after one reintervention, was observed in 101 patients (84.9%). Access loss or need for further reintervention was observed in 18 patients (15.1%) and they are all described in Table IV.

89 out of 119 patients had completed their first year of follow-up. From those, 27 had experienced complications

**TABLE II** - Additional procedures performed simultaneously with banding

Additional procedures	HAIDI		HFA	
	n	%	n	%
None	40	62.5	37	65.5
Side branch ligation	19	29.7	2	3.6
Percutaneous angioplasty	-	-	8	14.5
Stenosis surgical plasty	-	-	3	5.5
Basilic vein transposition	5	7.8	3	5.5
Cephalic vein superficialization	-	-	2	3.6

HAIDI = haemodialysis access-induced distal ischaemia; HFA = high flow access.

**TABLE III** - Number of patients with banding-related complications. Technical failure, recurrence of symptoms, requiring new banding. Excessive banding, access with inappropriate access flow caused by banding

Complications	All patients (n = 119)	HAIDI (n = 64)	HFA (n = 55)
Technical failure	20	10	10
Excessive banding	4	4	-
Thrombosis	6	4	2
Rupture	3	-	3
False aneurysm	1	-	1
Total	34 (28.6%)	18 (28.1%)	16 (29.1%)

HAIDI = haemodialysis access-induced distal ischaemia; HFA = high flow access.

**TABLE IV** - Description of patients with access loss after banding, or who required more than one reintervention

Technical failure	HAIDI	Required access ligation to control symptoms (finger necrosis)
	HAIDI	Required redo banding, that was performed with PTFE, and evolved to infection and access ligation
	HAIDI	Required redo banding that evolved to thrombosis and access lost
	HAIDI	Required access ligation to symptoms control and finger amputation (finger necrosis)
	HAIDI	Required two more redo banding procedures. Access was then ligated to symptoms control (finger necrosis)
	HAIDI	Required two more redo banding procedures. As still symptomatic, a proximalisation of arterial inflow was performed to control symptoms
	HAIDI	Required distalisation of arterial inflow to control symptoms. Evolved to access thrombosis due to stenosis prosthesis-vein
	HFA	Required second redo banding that evolved to false aneurysm development, rupture and access ligation
	HFA	Required two more redo banding procedures. No further reintervention
Excessive banding thrombosis	HFA	Required two more redo banding procedures. No further reintervention
	HAIDI	Required multiple reinterventions due to stenosis induced by banding
	HAIDI	After five days, cephalic vein thrombosis was detected, with access loss. Transposition of basilic vein (already arterialised by Gracz AVF) was immediately programmed but it still took four weeks to be usable
	HAIDI	Thrombosis of AVG 1 year after banding and with no other intercurrents. Deemed as unrecoverable. Access constructed in contralateral limb
	HAIDI	Thrombosis 5 months after banding. Thrombectomy and percutaneous angioplasty of multiple stenosis. Access was immediately usable but developed re-thrombosis one month later leading to access loss
Rupture	HFA	Thrombosis 7 months after banding. Deemed unrecoverable. Access constructed in contralateral limb
	HFA	High flow recurrence after rupture correction with proximal access reconstruction
False aneurysm	HFA	High flow recurrence after rupture correction with proximal access reconstruction
	HFA	Required two more banding procedures. The second one was made with PTFE, and evolved to infection and access ligation

HAIDI = haemodialysis access-induced distal ischaemia; HFA = high flow access; PTFE = polytetrafluoroethylene.

**TABLE V** - Comparison of technical failure with primary clinical success

	Technical failure (n = 20)	Primary clinical success (n = 85)	
Age (mean, y)	54.2	62.8	p = 0.016*
Gender, n (% male)	11/55%	54/63.5%	p = 0.704
Diabetes, n (%)	7/35%	35/41.2%	p = 0.800
Hypertension, n (%)	4/20%	11/12.9%	p = 0.478
PAD, n (%)	3/15%	13/15.3%	p = 1.000
Antiaggregation, n (%)	9/45%	44/51.8%	p = 0.627
Anticoagulation, n (%)	1/5%	11/12.9%	p = 0.455
Fistula flow (mL/min)	1914	2059	p = 0.469
Ultrasound control, n (%)	1/5%	28/32.9%	p = 0.012*

PAD = Peripheral arterial disease.

\* Indicates statistically significant difference.

during the first year. Therefore, our primary success rate after one year was 69.7% (62 out of 89). From the 27 patients who experienced complications, 11 had a reintervention leading to symptom resolution, increasing our secondary success rate after one year (free of symptoms) to 82% (73 out of 89).

Our patency rate was 90.8%. Eleven accesses were lost during follow-up due to thrombosis (n = 4), thrombosis after re-banding (n = 1), technical failure followed by an attempt of banding with external polytetrafluoroethylene (PTFE), complicated by infection and ligation of the access (n = 2), access

ligation to control ischaemic symptoms (n = 3) and access rupture due to anastomotic false aneurysm (n = 1).

We performed a comparison between the 85 patients with primary clinical success and the 20 patients with technical failure (recurrence of symptoms). Two factors were statistically significant: age and intraoperative ultrasound control use (Tab. V). An individual analysis of the remaining complications was performed, and we noticed that intraoperative ultrasound control was not used in any of the patients with thrombosis or excessive banding.

**TABLE VI** - Study results for banding procedure

First author	Clinical	Number of patients	Free of symptoms (%)	Access patent (%)
Odland (18)	HAIDI	16	100	40
DeCaprio (5)	HAIDI	11	91	10
Morsy (19)	HAIDI	6	100	33
Aschwanden (20)	HAIDI	3	100	100
Zanow (21)	HAIDI (n = 78)	95	86 (HAIDI)	91(AFV)
	HFA (n = 17)		96 (HFA)	58 (AVG)
van Hoek (15)	HAIDI (n = 9)	17	88	100
	HFA (n = 8)			
Gupta (22)	HAIDI	21	52	81
Jennings (23)	HFA and central stenosis	22	100	91
Vaes (16)	HFA	50	48	96
Leake (6)	HAIDI	38	75	89

AVF = arteriovenous fistula; AVG = arteriovenous graft; HAIDI = haemodialysis access-induced distal ischaemia; HFA = high flow access.

We concluded from the logistic regression model that primary success was negatively affected by hypertension, with an odds ratio of 0.352 and a confidence interval of 0.139,0.889, and positively affected by ultrasound control, with an odds ratio of 4.494 and a confidence interval of 1.653,12.219.

## Discussion

High-flow AV fistulas can be associated with high output cardiac failure, massively dilated fistula, central or proximal vein stenosis/occlusion, distal hypoperfusion ischaemic syndrome and poor clearance from high cardiopulmonary recirculation (8). The decision for surgical intervention must be based on symptoms severity and on the ability to reduce fistula flow to the desired extent without compromising the access patency.

The rate of AV fistulas complicated with HAIDI can be as high as 8% (2). Female gender, diabetes mellitus and proximal access are predictors of ischaemia risk after AV fistula creation (9). The challenge is to restore peripheral arterial circulation without losing the AV access. Of all the available options allowing access maintenance, banding is by far the simplest, less invasive and less time-consuming, compared to other techniques: distal radial artery ligation (DRAL) (10), distal revascularisation-interval ligation (DRIL) (11, 12), revision using distal inflow (RUDI) (13) and proximalisation of the arterial inflow (PAI) (14).

Our cohort demographics matched previous reports in which patients with ischaemic symptoms were older than patients with high flow fistulas. Older patients are prone to atherosclerotic disease and, conversely, more protected from developing high flow accesses, due to endothelial exhaustion (2, 15, 16). Diabetes was more prevalent in the HAIDI group, which is also consensual in the literature. The impairment of long-term arterial remodelling following con-

struction of AVF can be harmful in the HAIDI group, limiting the size to which collateral arteries can expand to compensate for decreased flow distal to fistula (17) and, inversely, it can be protective from developing high flow fistula, with arterial calcification preventing high flow access development (16).

Our study reports one of the largest cohorts submitted to banding. We summarize in Table VI what has been previously described in the literature. Our primary success rate was 71.4%, and secondary success rate was 84.9% after a single reintervention. Age, absence of hypertension and intraoperative ultrasound control use were better predictors of technique success. Our patency rate was 90.8%.

Previous studies have reported success rates (free of symptoms) ranging from 48% to 100% and patency rates ranging from 10% to 100%. These variations may be associated with banding intra-operative criteria, since results from studies where ultrasound control was used intra-operatively are clearly better (15, 20, 21, 23). In the Vaes et al (16) study, even systematically using intra-operative ultrasound control, results after one year follow-up highlight the risk of recurrence, possibly demonstrating a banding technique weakness. However, one of the main advantages of this technique is its easy reproducibility. In addition, most complications associated with this technique are solvable. As shown in our study, our primary success rate after one year is 69.7%. After one re-intervention, we were able to achieve a secondary success rate of 82%.

Banding in our current practice is performed under local anaesthesia, for two main reasons: first, an awake patient allows us to obtain subjective patient feelings regarding hand perfusion improvement during the surgery; second, local anaesthesia provides a more accurate flow reduction, since general anaesthesia reduces systemic blood pressure leading to a decrease in blood flow at arm level, and regional techniques, including axillary blocks, may lead to augmented flow volumes in the arm (16).

From the procedures performed simultaneously with banding, we would like to highlight outflow stenosis angioplasty in the HFA, justifying the previously mentioned association between high flow and those stenoses with haemodynamic characteristics (curvature areas). Some authors suggest an increased incidence or exacerbation of stenosis in these segments, caused by parietal fibrosis and intimal hyperplasia as a response to turbulence and shear stress forces related with high flow (24). The impact of flow reduction in the incidence of cephalic arch stenosis in brachiocephalic fistulas has been previously demonstrated, resulting in the reduction of cephalic arch intervention rate from 3.34 to 0.9 per access-year ( $p < 0.001$ ) (25).

On the other hand, we noticed clear predominance on side branch ligation performed at the same time as banding in patients with HAIDI, a clear attempt to obtain the highest possible flow decrease in this type of access. Flow decrease and increased hand perfusion after side branch ligation, both immediate and after one year, have also been previously reported (26, 27), and are based in the reduction of pressure loss around the anastomosis area, resulting in increased hand arterial pressure.

We believe that intraoperatively monitoring flow reduction via Doppler ultrasound can have advantages over other similar constriction techniques published in the literature: minimally invasive limited ligation endoluminal-assisted revision (MILLER); (endovascular 4-5 mm balloon standardized constriction) (28), with the extra costs of an angioplasty balloon; the use of ionizing radiation and no information about arterial or access flow; "Christmas tree" (29), with a digital perfusion pressure based banding without AV access flow control; and Vaes et al banding technique (16) with an invasive flow control with flow meter perivascular probe. Intraoperative ultrasound control is non-invasive, allows access flow control and verifies distal artery flow improvement. A limit to this could be that at least one of the surgeons needs to be skilled in ultrasound use.

We acknowledge some limitations to this study: retrospective study design, with no standardised recording of data, with no visit schedule or follow-up protocol; all patients with complaints referred to us after procedure were classified as having complications; patients referred for re-evaluation with no procedure-related complaints were considered as clinical success, as were discharged patients who were not referred back to us.

## Conclusions

Our study results do not corroborate the high rate of thrombosis previously reported as associated with AV access banding and suggest that ultrasound control should be the gold standard monitoring tool to ensure technical success and prevent procedure complications. Additionally, older age and absence of hypertension can be used as predictors of better results. The procedure was effective in both studied groups, either to control HFA or to treat HAIDI.

## Disclosures

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